

# **A Low-Energy Implementation of Finite Automata by Optimal-Size Neural Nets**

**Jiří Šíma**



**Institute of Computer Science  
Academy of Sciences of the Czech Republic**

## Energy Aspects of Neural Networks

- the activity of neurons in the brain is quite **sparse**, with only about 1% of neurons firing (Lennie, 2003)
- biological neurons require more **energy** to transmit a spike than not to fire
- in contrast, the design of **artificial** neural circuits does not usually take the energy aspects into account, e.g. on average, every second unit fires during a computation

# Energy Complexity of Threshold Circuits

Uchizawa, Douglas, Maass (2006):

**energy complexity** of **feedforward** perceptron networks (threshold circuits)  
= the maximum number of firing units taken over all the input values to the circuit

- related by **tradeoff results** to other complexity measures:
  - network **size** = the number of neurons  
(Uchizawa, Takimoto, 2008; Uchizawa, Takimoto, Nishizeki, 2011)
  - circuit **depth** = parallel computation time  
(Uchizawa, Nishizeki, Takimoto, 2010; Uchizawa, Takimoto, 2008)
  - **fan-in** = the maximum number of inputs to a single unit  
(Suzuki, Uchizawa, Zhou, 2011)
- a tool for proving the lower bounds in circuit complexity  
(Uchizawa, Takimoto, Nishizeki, 2011)

**? Energy Complexity of Recurrent Networks ?**

## Model of Recurrent (Perceptron) Networks

- **Architecture:**  $s$  computational units (neurons, perceptrons, threshold gates)  
 $V = \{1, \dots, s\}$  connected into a directed graph  
where  $s$  is the **size** of the network

- each edge from neuron  $i$  to  $j$  is labeled with an integer **weight**  $w(i, j)$   
( $w(i, j) = 0$  iff there is no edge  $(i, j)$ )

- **Computational Dynamics:** the evolution of **network state**

$$\mathbf{y}^{(t)} = (y_1^{(t)}, \dots, y_s^{(t)}) \in \{0, 1\}^s$$

at discrete time instant  $t = 0, 1, 2, \dots$

# Computational Dynamics

1. initial state  $\mathbf{y}^{(0)}$
2. at discrete time instant  $t \geq 0$  the excitation

$$\xi_j^{(t)} = \sum_{i=1}^s w(i, j) y_i^{(t)} - h(j) \quad \text{for } j = 1, \dots, s$$

where  $h(j)$  is an integer threshold of unit  $j$

3. at the next time instant  $t + 1$ , the neurons  $j \in \alpha_{t+1}$  from a selected subset  $\alpha_{t+1} \subseteq V$  updates their states (outputs)

$$y_j^{(t+1)} = \begin{cases} 1 & \text{for } \xi_j^{(t)} \geq 0 \\ 0 & \text{for } \xi_j^{(t)} < 0 \end{cases}$$

while  $y_j^{(t+1)} = y_j^{(t)}$  for  $j \notin \alpha_{t+1}$

**Energy Complexity** = the maximum number of firing neurons  $\sum_{j=1}^s y_j^{(t)}$  at any time instant  $t \geq 0$ , taken over all possible computation

# Recurrent Neural Networks as Language Acceptors

(Horne, Hush, 1996; Indyk, 1995; Siegelmann, Sontag, 1995 etc.)

- **language** (problem)  $L \subseteq \{0, 1\}^*$  over binary alphabet
- input string  $\mathbf{x} = x_1 \dots x_n \in \{0, 1\}^n$  of arbitrary length  $n \geq 0$  is sequentially presented bit after bit via **input neuron**  $\text{in} \in V$ ,

$$y_{\text{in}}^{(\tau(i-1))} = x_i \quad \text{for } i = 1, \dots, n$$

where integer  $\tau \geq 1$  is a **time overhead (period)** for processing a single bit

- **output neuron**  $\text{out} \in V$  signals whether  $x \stackrel{?}{\in} L$ ,

$$y_{\text{out}}^{(\tau n)} = \begin{cases} 1 & \text{for } x \in L \\ 0 & \text{for } x \notin L \end{cases}$$

# Computational Power of Recurrent Perceptron Networks

- recurrent networks having at most  $2^s$  different network states from  $\{0, 1\}^s$  correspond to **finite automata** recognizing **regular languages**
- a **deterministic finite automaton**  $A$  with  $m$  states can simply be implemented using  $2m + 1$  neurons, one for each 0 or 1 state transition of  $A$  (Minsky, 1967)  
this naive  $O(m)$  implementation requires only a **constant energy**
- **optimal-size** implementations of a deterministic finite automaton with  $m$  states by neural nets with  $\Theta(\sqrt{m})$  neurons (Horne, Hush, 1996; Indyk, 1995).

**? Energy complexity of an optimal-size neural network simulating a given deterministic finite automaton ?**

## Main Result: Tradeoff Between Energy and Time Overhead

**Theorem 1** For any function  $e$  satisfying  $e = \Omega(\log s)$  and  $e = O(s)$ , a given deterministic finite automaton  $A$  with  $m$  states can be simulated by a neural network  $N$  of optimal size  $s = \Theta(\sqrt{m})$  neurons with *time overhead*  $\tau = O(s/e)$  per one input bit, using the *energy*  $O(e)$ .

### Simple Idea of Proof:

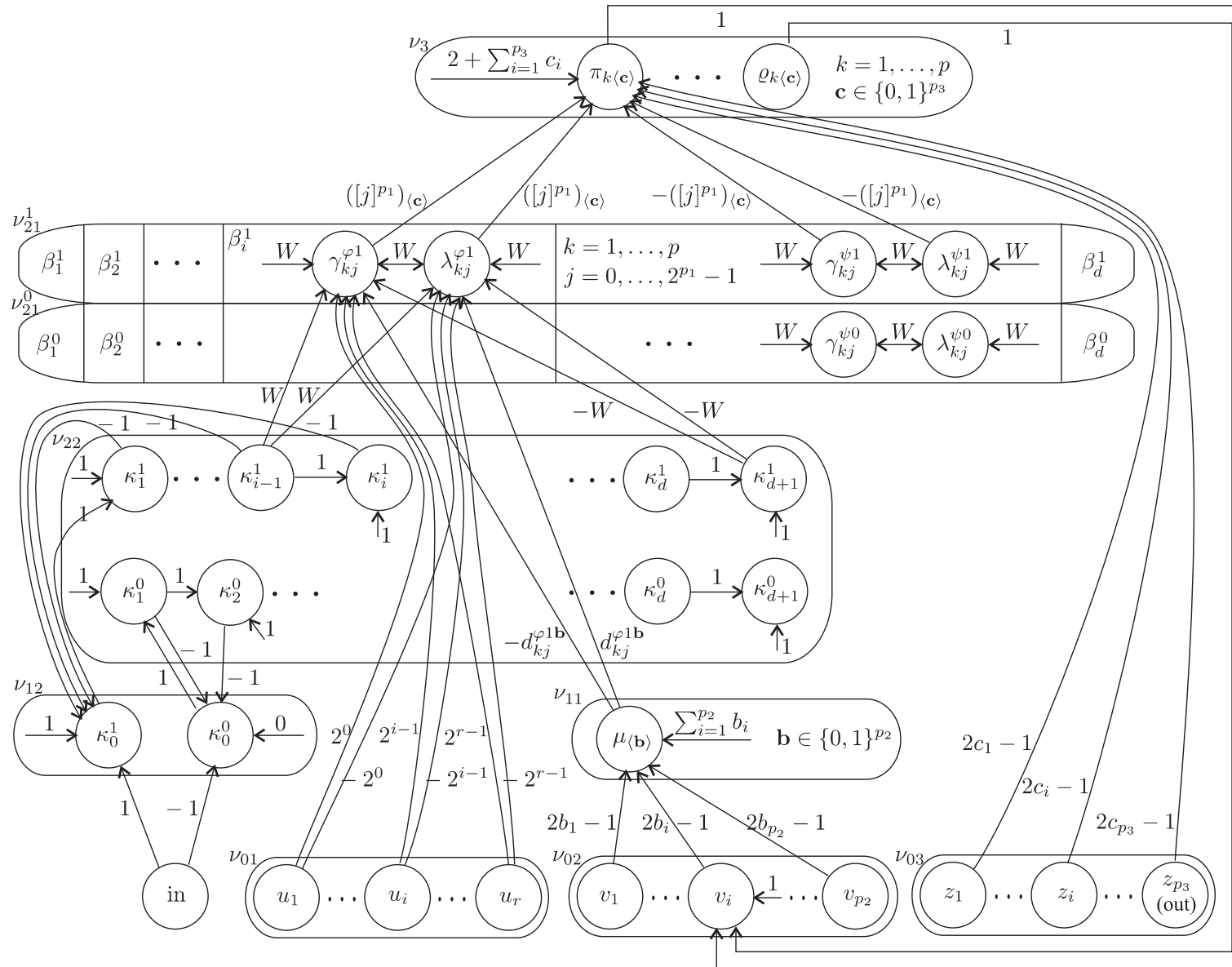
- each of the  $m$  *states* of  $A$  can be encoded using  $p = \lceil \log \rceil + 1$  bits including a one-bit indicator of final states
- *transition function*  $\delta : Q \times \{0, 1\} \rightarrow Q$  of  $A$  (producing the new state from the old one and the current input bit) can be viewed as a vector Boolean function  $\mathbf{f} : \{0, 1\}^{p+1} \rightarrow \{0, 1\}^p$



## Idea of Proof (Continuation)

- function  $\mathbf{f}$  is implemented by **four-layer perceptron network** of optimal size  $s = O(\sqrt{2^p}) = O(\sqrt{m})$  using the method of threshold circuit synthesis due to Lupanov (1973)
- the **recurrent connections** leading from the fourth to the first layer replace the current state by the new one
- the dominant-size layer of  $\Theta(s)$  neurons is properly partitioned into  $O(s/e)$  **blocks of  $O(e)$  units each**
- control units ensure that these **blocks are updated successively** one by one so that the energy consumption  $O(e)$  is guaranteed while the time overhead for processing a single input bit is  $O(s/e)$

# Technical Schema of Low-Energy Neural Automata



## The Lower Bounds

**Theorem 2** Let  $\tau \log \tau = o(\log s)$ . There exists a neural network of size  $s$  neurons simulating a finite automaton with time overhead  $\tau$  per one input bit which needs energy  $e$  such that  $\log e = \Omega_\infty \left( \frac{1}{\tau} \log s \right)$ .

**Idea of Proof:** the technique due to Uchizawa, Takimoto (2008) based on communication complexity argument

### Corollary 1

1. If  $\tau = O(1)$ , then  $e \geq s^\delta$  for some  $\delta$  such that  $0 < \delta < 1$  and for infinitely many  $s$ .  $\times$  our construction  $e = O(s)$

2. If  $\tau = O(\log \log s)$ , then  $e = \Omega_\infty \left( s^{\frac{1}{\log^\delta s}} \right) = \Omega_\infty \left( 2^{\log^{1-\delta} s} \right)$  for any  $\delta$  such that  $0 < \delta < 1$ .

3. If  $\tau = O(\log^\alpha s)$  for some  $0 < \alpha < 1$ , then  $e = \Omega_\infty \left( s^{\frac{\log \log s}{\log^\delta s}} \right) = \Omega_\infty \left( (\log s)^{\log^{1-\delta} s} \right)$  for any  $\delta$  such that  $\delta > \alpha$ .  $\times$  our construction  $e = O \left( \frac{s}{\log^\alpha s} \right)$

## Conclusions and Open Problem

- We have, for the first time, applied the **energy complexity** measure to **recurrent** neural nets which has recently been introduced and studied for feedforward perceptron networks.
- We have presented a **low-energy implementation** of finite automata by optimal-size neural nets with the **tradeoff** between the time overhead for processing one input bit and the energy varying from the logarithm to the full network size.
- We have also achieved **lower bounds** for the energy consumption of neural finite automata which are valid for at most sublogarithmic time overheads and are still not tight.
- An open problem remains for further research whether these bounds can be improved.