Abstract	1. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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#### Derivability of (novel) rules of $\beta$ -conversion in partial type theory

#### Petr Kuchyňka & Jiří Raclavský

Dpt. of Philosophy, Masaryk University (Brno)

# MUNI

Czech Gathering of Logicians, 16/6/2022, Prague

Abstract	1. Partial TT and $eta$ -conversion	<ol> <li>β-conversion by-name</li> <li>00000000000</li> </ol>	III. β-conversion by-value	Conclusion
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• High-school mathematics: find the domain  $\mathscr{D}_f$  of the function prescribed by

$$y = \frac{3}{x^2 - 3x + 2}$$

• 
$$f := \lambda x \cdot \frac{3}{(x-1) \times (x-2)}$$

so 
$$\mathscr{D}_f = \mathbb{R} - \{1; 2\}$$

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- The β-reduction rule [λx.f(x)] (a) ⊢ f(x)<sub>(a/x)</sub>
   is the fundamental rule of λ-calculus/type theory (TT)
- TT is the(?) higher-order logic (HOL); vast applications, e.g. in
  - a. functional programming languages
  - b. proof assistants
  - c. formalisation of natural language

• Partial TT meets the widespread demand of CS to employ partiality

- 1. show appropriate rules of  $\beta$ -conversion by-name and by-value
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Abstract	I. Partial TT and $\beta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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Conclusion

### Content



#### 2 Partial TT and $\beta$ -conversion

- $\bigcirc$  Primitive and derived rules of eta-conversion by-name
  - Derived rules of eta-conversion by-value

#### Concluding remarks

III. β-conversion by-value 000000 Conclusion

# Non-denoting terms and partiality

Non-denoting terms

Non-denoting terms are terms that lack denotation.

– e.g., " $3 \div 0$ ", "3 - 10" (in  $\mathbb{N}$ ), "the greatest prime", "the King of France", ...

• Logics managing non-denoting terms:

- a. partial type theory Tichý 1982 and passim, Farmer 1990, Muskens 1989, 1995, Duží et al. 2010, Raclavský et al. 2015, ...,
- b. (typed) lambda calculus Besson 1984, Moggi 1988, Feferman 1995, Moschovakis 2006, ...
- c. free logic Lambert 2003, Scott 1979, ...
- d. three-(four-)valued logic Bochvar 1981, Kleene 1952, Blamey 1986, Langholm 1988, Muskens 1995, Kohlhase 1996, ...
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Abstract	1. Partial TT and $eta$ conversion
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III. β-conversion by-value 000000 Conclusion

### Two kinds of partiality

Two kinds of partiality

'Functional terms' such as " $\lambda x. \div (x, 0)$ "

a. denote partial functions-as-graphs

b. express strict ('partial') functions-as-computations

- b. is of a profound interest in *computer science* 
  - Besson, Moggi, Feferman, ...

 Abstract
 I. Partial TT and β-conversion

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III.  $\beta$ -conversion by-value

Conclusion

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# Partial functions (a.-type partiality)

Partial functions

A partial function[-as-graph] is undefined for at least 1 element of its domain  $\mathscr{D}_F$ .

i.e. not all members of its  $\mathscr{D}_F$  are mapped to its co-domain  $\mathscr{C}_F.$ 

- Example. Let  $x, y/\tau^{\mathbb{R}}$  (type of reals);  $\div/\langle \tau^{\mathbb{R}}, \tau^{\mathbb{R}} \rangle \mapsto \tau^{\mathbb{R}}$ ;

$$\begin{array}{c|c|c|c|c|c|c|c|c|}\hline \langle x,y\rangle & \div(x,y) \\ \hline \vdots & \vdots \\ \langle 3,0\rangle & \text{ i.e. nothing at all} \\ \langle 3,1\rangle & 3 \\ \langle 3,2\rangle & 1.5 \\ \hline \vdots & \vdots \\ \hline \end{array}$$

II.  $\beta$ -conversion by-name III.  $\beta$ -conversion by-value

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III.  $\beta$ -conversion by-value 000000

Conclusion

# Constructions (b.-type partiality)

#### Constructions

A **construction** C (Tichý, Girard, Moschovakis, ...) is an acyclic algorithmic computation of an object O denoted by the term "C" that expresses C.

E.g., " $3 \div 0$ " expresses the construction  $\div (3,0)$  and denotes nothing at all.

Let v be an *assignment* for variables-as-constructions.

(Im)Proper constructions

C is v-proper / v-improper iff C v-constructs an object / nothing at all.

v-congruence of constructions

C and D are v-congruent,  $\cong$  iff C and D v-construct the same object, or they are both v-improper. e.g.,  $\div$ (3, 1) and +(1, 2),  $\div$ (3, 0) and  $\div$ (2, 0)

III. β-conversion by-value 000000 Conclusion

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# Language of TT\*

#### Partial type theory (PTT)

Partial type theory (PTT) manages both total and partial functions. (Tichý 1982, Farmer 1990)

#### Language $\mathcal{L}_{\mathsf{TT}^*}$

 $C ::= x \mid c \mid C_0(\bar{C}_m) \mid \lambda \tilde{x}_m . C_0 \mid \lceil C_0 \rceil$ 

- i.e. variables, constants, applications, abstractions, acquisitions
- where  $ar{X}_m$  stands for  $X_1,...,X_m$  and  $ar{X}_m$  stands for  $X_1...X_m$
- auxiliary brackets: [, ]
- typing: C/ au where  $type\ au$  is either  $\iota$  or o of  $\mathscr{B}$ , or  $\langle ar{ au}_m
  angle{ o au_0}$  over  $\mathscr{B}$
- Semantics (based on Henkin 1950):
  - a  $\textit{domain} \ \mathscr{D}_{ au}$  is a set that interprets au
  - $\ \mathscr{F} = \{\mathscr{D}_\tau\}_\tau \text{ is a } \textit{frame and } \mathscr{M} = \langle \mathscr{F}, \mathscr{I} \rangle \text{ a (general) } \textit{model}$

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II.  $\beta$ -conversion by-name 00000000000

III. β-conversion by-value 000000 Conclusion 000

# Substitution

#### Substitution as a construction

 $C_{(D/x)} = \llbracket Sub(\ulcorner D\urcorner, \ulcorner x\urcorner, \ulcorner C\urcorner) \rrbracket^{\mathscr{M}, v}$ 

#### Substitution function Sub v-constructed by Sub

Let  $C, D, x, B, \bar{B}_m$  are nth-order constructions. Let "FV(C)" stand for the set of all free variables that are (nth-order) subconstructions of C. (adaptated from Curry 1958)

- I. If the variable x is not free  $(\lambda, \Box binds)$  in C, then  $C_{(D/x)}$  is identical with C.
- II. If the variable x is *free* in C, then

If C is	$C_{(D/x)}$ is	
		$x \in FV(B)$ and $y \notin FV(D)$ $x \in FV(B)$ and $y \in FV(D)$ and $z \notin FV(B) \cup FV(D)$

• Substitution principle: For any v into  $\mathscr{F}$  and any C, if  $\llbracket D \rrbracket \mathscr{M}, v = D$ , then  $\llbracket C_{(D/x)} \rrbracket \mathscr{M}, v = \llbracket C \rrbracket \mathscr{M}, v(D/x)$ .

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Jiří Raclavský (2022) Masaryk University

### Classical $\beta$ -conversion rules

• β-conversion rules are derivation rules of STT by Church 1940:

#### Classical $\beta$ -conversion rules

Let  $C_{(D/x)}$  be C in which x is v-congruently substituted by D; let  $C_{(\bar{D}_m/\bar{x}_m)}$  be short for  $C_{(D_1/x_1)...(D_m/x_m)}$ .

$$rac{[\lambda ilde{x}_m.C](ar{D}_m) \quad eta ext{-redex}}{C_{(ar{D}_m/ar{x})} \quad eta ext{-contractum}}\left(eta ext{-CON}_0
ight)$$

('an application of a function to an argument leads to its value')

$$\frac{C_{(\bar{D}_m/\bar{x})}}{[\lambda \tilde{x}_m.C](\bar{D}_m)} \left(\beta \text{-}\mathsf{EXP}_0\right)$$

where  $D_1, x_1/ au_1; ...; D_m, x_m/ au_m; C/ au$ 

## Problem 1 – adequate rules of $\beta$ -conversion

**PROBLEM 1** (Adequate rules of  $\beta$ -conversion)

Are there adequate rules of  $\beta$ -conversion for PTT?

- 1. The classical rules aren't. (Proof below.)
- 2. We need their modified and adequate versions are stated/derived below.

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### Inadequacy of the classical rules of $\beta$ -conversion

**FACT 1** (Invalidity of the classical rules of  $\beta$ -conversion)

The classical rules of  $\beta$ -conversion do not generally hold in PTT.

Demonstration by a counter-example

Let  $D, x/\tau_1; y/\tau_2; C/\tau_3$  Let D be v-improper and C contain a free occurrence of x. Is  $C_1 \beta$ -convertible to  $C_2$ ?

 $C_1 := [\lambda x.\lambda y.C](D)$  is *v*-improper because D is *v*-improper

 $C_2 := [\lambda y.C]_{(D/x)}$  is (always) *v*-proper

Since,  $C_1 \not\cong C_2$ , (B-CON<sub>0</sub>), (B-EXP<sub>0</sub>)

Example:  $[\lambda x.\lambda y. \div (x,x)]$   $(\div (3,0)) \not\longrightarrow_{\beta} \lambda y. \div (\div (3,0), \div (3,0))$ 

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II. β-conversion by-name •000000000 III.  $\beta$ -conversion by-value 000000

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### Content





#### $\bigcirc$ Primitive and derived rules of eta-conversion by-name

Derived rules of eta-conversion by-value

#### Concluding remarks

- $\bullet$  Seeking an appropriate ND that and captures e.g. that D must be denoting
- e.g. Tichý 1982, Moggi 1988, Farmer 1990

ND<sub>TT\*</sub> - natural deduction in sequent style (adjusted from Tichý 1982)

- i. embraces total and partial function
- ii. captures fine-grained hyperintensionality

e.g.  $AbortiveComputation(\neg : (3,0) \neg)$ 

- iii. resists Blamey's 1986 criticism (monotonicity of ⊨)
- iv. *complete* w.r.t. (Henkin) *general models* (Kuchyňka 2020)

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### Towards an appropriate ND for PTT

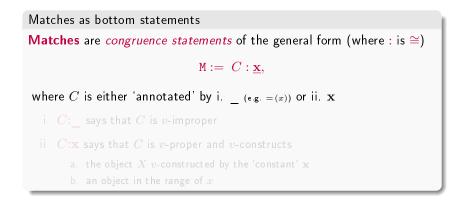
- Seeking an appropriate ND that and captures e.g. that D must be denoting
- e.g. Tichý 1982, Moggi 1988, Farmer 1990

ND<sub>TT\*</sub> - natural deduction in sequent style (adjusted from Tichý 1982)

- i. embraces total and partial function
- ii. captures fine-grained hyperintensionality
  - e.g.  $AbortiveComputation(\ulcorner \div (3,0)\urcorner)$
- iii. resists Blamey's 1986 criticism (monotonicity of ⊨)
- iv. complete w.r.t. (Henkin) general models (Kuchyňka 2020)

Abstract	1. Partial TT and $\beta$ -conversion	II. β-conversion by-name	III. β-conversion by-value	Conclusion
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#### ND<sub>TT\*</sub>: matches



• v satisfies (in  $\mathscr{M}$ ) M iff both M's C and  $\underline{\mathbf{x}}$  v-construct the same/no object

Abstract	1. Partial TT and $\beta$ -conversion	II. β-conversion by-name	III. β-conversion by-value	Conclusion
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#### ND<sub>TT\*</sub>: matches

Matches as bottom statements Matches are congruence statements of the general form (where : is  $\cong$ )  $M := C : \underline{x},$ where C is either 'annotated' by i. \_ (e.g. = (x)) or ii. x i. C:\_ says that C is v-improper ii. C:x says that C is v-proper and v-constructs a. the object X v-constructed by the 'constant' x b. an object in the range of x

• v satisfies (in  $\mathcal{M}$ ) M iff both M's C and  $\underline{\mathbf{x}}$  v-construct the same/no object

I. Partial TT and  $\beta$ -conversion Abstract II.  $\beta$ -conversion by-name 00000000000

III.  $\beta$ -conversion by-value Conclusion

#### ND<sub>TT\*</sub>: sequents and rules

ND<sub>TT\*</sub>'s sequents and rules **Sequents** are of the form (let  $\Gamma$  be a set of matches;  $\longrightarrow$  is 'entailment')

 $S := \Gamma \longrightarrow M$ 

S is valid (in  $\mathscr{M}$ ) iff every v that satisfies (in  $\mathscr{M}$ ) all  $\Gamma$ 's members satisfies (in  $\mathscr{M}$ ) also M.

Rules are validity-preserving operations of the form

$$R := \frac{S_m}{S}$$

• Examples:

$$\Gamma, \mathbb{M} \longrightarrow \mathbb{M}$$
 (AX

$$\frac{\Gamma \longrightarrow M}{\Gamma, M' \longrightarrow M} (WR)$$

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Derivability of rules of  $\beta$ -conversion in partial TT 17 / 33

 Abstract
 I. Partial TT and β-conversion
 II. β-conversion by-name
 III. β-con

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III. β-conversion by-valueConclusion000000000

#### ND<sub>TT\*</sub>: sequents and rules

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Rules are validity-preserving operations of the form

$$R := \frac{S_m}{S}$$

Examples:

$$\frac{\Gamma \longrightarrow M}{\Gamma, M \longrightarrow M} (AX) \qquad \qquad \frac{\Gamma \longrightarrow M}{\Gamma, M' \longrightarrow M} (WR)$$

$$\frac{\Gamma \longrightarrow M_1 \qquad \Gamma \longrightarrow M_2}{\Gamma \longrightarrow M} (\mathsf{EFQ}) \qquad \frac{\Gamma, C:\_ \longrightarrow M \qquad \Gamma, C:x \longrightarrow M}{\Gamma \longrightarrow M} (\mathsf{EXH})$$

Condition (EFQ):  $M_1$  and  $M_2$  are of the form  $C: \mathbf{x}$ , but they don't v-construct the same object.

Abstract	1. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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#### Further three rules

\_

• We'll employ also

$$\frac{\Gamma \longrightarrow \mathbf{x}:\mathbf{x}}{\Gamma \longrightarrow F(\bar{X}_m):\_} (\mathsf{TM})$$

$$\frac{\Gamma \longrightarrow F(\bar{X}_m):\_}{\Gamma \longrightarrow F(\bar{\mathbf{x}}_m):\_} (\operatorname{app-SUB.i}^-)$$

$$\frac{\Gamma \longrightarrow F(\bar{\mathbf{x}}_m):\_}{\Gamma \longrightarrow F(\bar{X}_m):\_} (\operatorname{app-SUB.ii}^-)$$

• The rules (app-SUB<sup>-</sup>) are derivable (similarly for (app-SUB.ii<sup>-</sup>))  
s 
$$\Gamma \longrightarrow F(\bar{X}_m)$$
:\_ assumption in  $H$   
s\_1  $\Gamma \longrightarrow X_1$ :x1 assumption in  $H$   
 $\vdots$   $\vdots$   $\vdots$   
s\_m  $\Gamma \longrightarrow X_m$ :xm assumption in  $H$   
1.  $\Gamma, F(\bar{\mathbf{x}}_m)$ :y  $\to F(\bar{\mathbf{x}}_m)$ :y (AX)  
2.  $\Gamma, F(\bar{\mathbf{x}}_m)$ :y  $\to F(\bar{\mathbf{x}}_m)$ :y from 1,  $\bar{\mathbf{s}}'_m$  by (app-SUB.ii)  
3.  $\Gamma, F(\bar{\mathbf{x}}_m)$ :y  $\to F(\bar{\mathbf{x}}_m)$ :\_ from 2, s' by (EFQ)  
4.  $\Gamma, F(\bar{\mathbf{x}}_m)$ :\_  $\to F(\bar{\mathbf{x}}_m)$ :\_ (AX)  
5.  $\Gamma \longrightarrow F(\bar{\mathbf{x}}_m)$ :\_ from 3, 4 by (EXH)

Abstract	1. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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#### Further three rules

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$$\frac{\Gamma \longrightarrow F(\bar{X}_m):}{\Gamma \longrightarrow F(\bar{\mathbf{x}}_m):} \qquad \Gamma \longrightarrow X_1: \mathbf{x}_1 \qquad \Gamma \longrightarrow X_m: \mathbf{x}_m \qquad \text{(app-SUB.i}^-)$$

$$\frac{\Gamma \longrightarrow F(\bar{\mathbf{x}}_m):}{\Gamma \longrightarrow F(\bar{\mathbf{x}}_m):} \qquad \Gamma \longrightarrow X_1: \mathbf{x}_1 \qquad \dots \qquad \Gamma \longrightarrow X_m: \mathbf{x}_m \qquad \text{(app-SUB.ii}^-)$$

• The rules (app-SUB<sup>-</sup>) are derivable (similarly for (app-SUB.ii<sup>-</sup>))  
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$$\Gamma \longrightarrow F(\bar{X}_m)$$
:\_ assumption in  $H$   
S<sub>1</sub>  $\Gamma \longrightarrow X_1$ :x<sub>1</sub> assumption in  $H$   
 $\vdots$   $\vdots$   $\vdots$   
S<sub>m</sub>  $\Gamma \longrightarrow X_m$ :x<sub>m</sub> assumption in  $H$   
1.  $\Gamma, F(\bar{\mathbf{x}}_m)$ :y  $\longrightarrow F(\bar{\mathbf{x}}_m)$ :y (AX)  
2.  $\Gamma, F(\bar{\mathbf{x}}_m)$ :y  $\longrightarrow F(\bar{\mathbf{x}}_m)$ :y from 1.  $\bar{\mathbf{s}}'_m$  by (app-SUB.ii)  
3.  $\Gamma, F(\bar{\mathbf{x}}_m)$ :y  $\longrightarrow F(\bar{\mathbf{x}}_m)$ :\_ (AX)  
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5.  $\Gamma \longrightarrow F(\bar{\mathbf{x}}_m)$ :\_ from 3.4 by (EXH)

III.  $\beta$ -conversion by-value

Conclusion

# $ND_{TT*}$ 's primitive rules of $\beta$ -conversion

The condition of the validity of the  $\beta$ -contraction-by-name rules Each construction  $D_i$ , which we substitute in a  $\lambda$ -abstraction, must be v-proper.

• Tichý's 1982  $\beta$ -rules immune to the above counter-example:

$$\mathsf{ND}_{\mathsf{TT}^*}$$
's primitive rules of  $eta$ -conversion

$$\frac{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\mathbf{y}}{\Gamma \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:\mathbf{y}} (\beta\text{-}\mathsf{CON})$$

$$\frac{\Gamma \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}: \mathbf{y} \qquad \Gamma \longrightarrow D_1: \mathbf{x}_1 \dots \Gamma \longrightarrow D_m: \mathbf{x}_m}{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m): \mathbf{y}} (\beta \text{-}\mathsf{EXP})$$

– the function  $[\![\lambda \tilde{x}_m.C]\!]^{\mathcal{M},v}$  is defined for the m-tuple  $[\![\bar{D}_m]\!]^{\mathcal{M},v}$ 

II.  $\beta$ -conversion by-name III.  $\beta$ -conversion by-value

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$$\begin{split} \mathsf{ND}_{\mathsf{TT}^*} \text{'s primitive rules of } \beta\text{-conversion} \\ & \frac{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\mathbf{y}}{\Gamma \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:\mathbf{y}} \left(\beta\text{-CON}\right) \\ & \frac{\Gamma \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:\mathbf{y} \quad \Gamma \longrightarrow D_1:\mathbf{x}_1 \dots \Gamma \longrightarrow D_m:\mathbf{x}_m}{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\mathbf{y}} \left(\beta\text{-EXP}\right) \end{split}$$

- the function  $[\![\lambda \tilde{x}_m.C]\!]^{\mathscr{M},v}$  is defined for the m-tuple  $[\![\bar{D}_m]\!]^{\mathscr{M},v}$ 

# Problem 2 – $\beta$ -conversion of constructions of undefined functions

#### • Cf. $[\lambda x. \div (x, 0)]$ (3) $\vdash$ $\div$ (3, 0), or our high-school example

**PROBLEM** 2 ( $\beta$ -conversion of constructions of undefined functions) ( $\beta$ -CON) and ( $\beta$ -EXP) cannot handle abstractions *v*-constructing a function undefined for a given argument. Are there appropriate rules?

- We need additional, new  $\beta$ -conversion rules.
- Subproblem: Are they derivable from ND<sub>TT\*</sub>'s primitive rules?

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III.  $\beta$ -conversion by-value

Conclusion

### New rules of $\beta$ -conversion are derivable

• To solve Problem 2,

Theorem 1: 'negative' variants of the  $\beta$ -conversion rules ( $\beta$ -CON) and ( $\beta$ -EXP)  $\frac{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\_}{\Gamma \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:\_} (\beta$ -CON<sup>-</sup>)  $\frac{\Gamma \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:\_}{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\_} (\beta$ -EXP<sup>-</sup>)

- i. In both rules, the function  $[\![\lambda \tilde{x}_m.C]\!]^{\mathscr{M},v}$  is undefined for the  $m\text{-tuple}\;[\![\bar{D}_m]\!]^{\mathscr{M},v}$
- ii. In ( $\beta$ -CON<sup>-</sup>),  $\Gamma \longrightarrow D_1: \mathbf{x}_1 \dots \Gamma \longrightarrow D_m: \mathbf{x}_m$  precludes the counter-example from Problem 1

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Abstract	1. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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# Proof of $(\beta$ -CON<sup>-</sup>)

S	$\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):$	assumption
$S_1$	$\Gamma \longrightarrow D_1: \mathbf{x}_1$	assumption
÷	:	:
$\mathtt{S}_m$	$\Gamma \longrightarrow D_m : \mathbf{x}_m$	assumption
1.	$\Gamma, C_{(\bar{D}_m/\bar{x}_m)}: \mathbf{a} \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}: \mathbf{a}$	(AX)
2.	$\Gamma, C_{(\bar{D}_m/\bar{x}_m)}: \mathbf{a} \longrightarrow [\lambda \tilde{x}_m \cdot C](\bar{D}_m): \mathbf{a}$	from 1, $ar{\mathtt{S}}_m$ by ( $eta extsf{-}EXP$ )
3.	$\Gamma, C_{(\bar{D}_m/\bar{x}_m)}: \mathbf{a} \longrightarrow [\lambda \tilde{x}_m \cdot C](\bar{D}_m):$	from S by (WR)
4.	$\Gamma, C_{(\bar{D}_m/\bar{x}_m)}: \mathbf{a} \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:$	from $2,3$ by (EFQ)
5.	$\Gamma, C_{(\bar{D}_m/\bar{x}_m)}:\_\longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:\_$	(AX)
6.	$\Gamma \longrightarrow C_{(\bar{D}_m/\bar{x}_m)}:\_$	from $4,5$ by (EXH)

Abstract	1. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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# Proof of $(\beta$ -EXP<sup>-</sup>)

Abstract	1. Partial TT and $\beta$ -conversion	II. β-conversion by-name	III. β-conversion by-value	Conclusion
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#### Partial conclusion

- One specific quadruple of  $\beta$ -conversion rules
  - a.  $(\beta$ -CON),  $(\beta$ -EXP) and  $(\beta$ -CON<sup>-</sup>) - the substituted construction  $D_i$  is v-proper
  - b. (eta-CON $^-$ ) and (eta-EXP $^-$ )

- relate v-improper constructions (their  $\lambda$ -abstractions v-construct an undefined function)

We'll see another quadruple of β-conversion rules
 a. in all of them: the substituted construction D<sub>i</sub> is v-proper

Abstract	1. Partial TT and $eta$ -conversion	II. β-conversion by-name	III. β-conversion by-value	Conclusion
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Abstract	1. Partial TT and $\beta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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#### Content



- 2) Partial TT and  $\beta$ -conversion
- 3 Primitive and derived rules of eta-conversion by-name

#### Φ Derived rules of β-conversion by-value

#### Concluding remarks

Abstract	I. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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#### Towards $\beta$ -conversion-by-value

- We want to substitute into a  $\lambda$ -abstracts not D as such, but its 'value' which must be computed first
- call-by-value evaluation in CS

PROBLEM 3 (Substitution by an already proven value)

Are there  $\beta$ -conversion rule that substitute the value of D which is an already proven object of a particular system?

Abstract	I. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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Abstract	1. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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 $\beta$ -contraction by-value in computer science

Usual formulation in CS (e.g Constable 1998); ↓ reads 'evaluates to' or 'is convergent to':

$$\begin{array}{ccc} \textit{call-by-name rule} & \textit{call-by-value rule} \\ \hline f \downarrow \lambda x.b & b_{(z/x)} \downarrow c \\ \hline f(z) \downarrow c & \hline f(a) \downarrow c \end{array} \qquad \qquad \begin{array}{c} f \downarrow \lambda x.b & a \downarrow a' & b_{(a'/x)} \downarrow c \\ \hline f(a) \downarrow c & \hline \end{array}$$

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#### New rules of $\beta$ -conversion 'by-value'

• In  $ND_{TT*}$ ,

Theorem 2: Derived (and novel)  $\beta$ -conversion rules by-value  $\frac{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\underline{\mathbf{a}} \qquad \Gamma \longrightarrow D_1:\mathbf{d}_1 \dots \Gamma \longrightarrow D_m:\mathbf{d}_m}{\Gamma \longrightarrow C_{(\bar{\mathbf{d}}_m/\bar{x}_m)}:\underline{\mathbf{a}}} (\beta\text{-}\mathrm{CON}^{V\pm})$   $\frac{\Gamma \longrightarrow C_{(\bar{\mathbf{d}}_m/\bar{x}_m)}:\underline{\mathbf{a}} \qquad \Gamma \longrightarrow D_1:\mathbf{d}_1 \dots \Gamma \longrightarrow D_m:\mathbf{d}_m}{\Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\underline{\mathbf{a}}} (\beta\text{-}\mathrm{EXP}^{V\pm})$ 

•  $\beta$ -conversion provided it is first established that  $\bar{D}_m$  result in values  $\bar{d}_m$  directly *acquired* by the respective acquisitions

 Abstract
 I. Partial TT and β-conversion

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II. β-conversion by-name 00000000000

III. β-conversion by-value 0000●0 Conclusion

# Proofs of $(\beta$ -CON<sup>V+</sup>) and $(\beta$ -CON<sup>V-</sup>)

Let $ar{\mathbf{d}}_m$	$/ar{ au}_m$ be not variables.	
$S_1$	$\Gamma \longrightarrow D_1: \mathbf{d}_1$	assumption in $H^{\mathrm{CON}^V}$
	$\vdots \\ \Gamma \longrightarrow D_m : \mathbf{d}_m$	assumption in $H^{\mathrm{CON}^V}$
1.	$ \begin{array}{l} \Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\mathbf{a} \\ \Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{\mathbf{d}}_m):\mathbf{a} \\ \Gamma \longrightarrow C_{(\bar{\mathbf{d}}_m/\bar{x}_m)}:\mathbf{a} \end{array} $	assumption in $H^{ ext{CON}^V}$ from $ar{ extsf{S}}_m$ and $ extsf{S}^{ extsf{C}+}$ by (app-SUB.i) from 1 by ( $eta$ -CON)
	$ \begin{array}{c} \Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{D}_m):\_\\ \Gamma \longrightarrow [\lambda \tilde{x}_m.C](\bar{\mathbf{d}}_m):\_\\ \Gamma \longrightarrow C_{(\bar{\mathbf{d}}_m/\bar{x}_m)}:\_ \end{array} $	assumption in $H^{ ext{CON}^V}$ from $ar{ extsf{S}}_m$ and $ extsf{S}^{ extsf{C}-}$ by (app-SUB.i $^-$ ) from $ar{ extsf{S}}_m$ and 2 by ( $eta extsf{-CON}^-$ )

AbstractI. Partial TT and  $\beta$ -conversion000000000000

II. *β*-conversion by-name

III. β-conversion by-value 00000● Conclusion

# Proofs of $(\beta$ -EXP<sup>V+</sup>) and $(\beta$ -EXP<sup>V-</sup>)

Abstract	1. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value
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Conclusion

#### Content



- 2 Partial TT and  $\beta$ -conversion
- $\bigcirc$  Primitive and derived rules of eta-conversion by-name
  - Derived rules of eta-conversion by-value

#### 6 Concluding remarks

Abstract	I. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. β-conversion by
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#### Conclusion 000

## Conclusions

- In TT\* which is an expressive system with both total and partial functions we
- 1. showed appropriate rules of  $\beta$ -conversion by-name and by-value
  - because STT's classical rules fail in PTT (Fact 1)
- 2. formulated *novel* ('negative') *rules*  $\beta$ -conversion by-name and by-value
  - because rules such as ( $\beta\text{-}\mathsf{CON})$  and ( $\beta\text{-}\mathsf{EXP})$  do not handle an application of an undefined function
- 3. *derived* all the rules from the initial couple of
  - eta-conversion by-name rules (Theorem 1 and Theorem 2)
  - which shows superiority of (eta-CON) and (eta-EXP)

Abstract	I. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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Abstract	I. Partial TT and $eta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value	Conclusion
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Abstract	1. Partial TT and $\beta$ -conversion	II. $\beta$ -conversion by-name	III. $\beta$ -conversion by-value
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Conclusion

 Abstract
 I. Partial TT and β-conversion

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III.  $\beta$ -conversion by-value 000000

Conclusion

## References & Thank you!

Kuchyňka, P.; Raclavský, J. (2021): Rules of  $\beta$ -conversion-by-name,  $\beta$ -conversion-by-value, and  $\eta$ -conversion in partial type theory. *Logic Journal of the IGPL*. conditionally accepted